

California Privacy Notice at Collection

Last Updated: June 18, 2026

This California Privacy Notice at Collection (“Notice at Collection”) describes the types of Personal Information (defined below) Citadel Enterprise Americas LLC, Citadel Securities Americas LLC, Citadel Americas LLC, and their affiliates (“Citadel”) collects from and about California residents who visit or access www.citadel.com or www.citadelsecurities.com, any other of our websites where this Notice at Collection is posted, or our iOS and Android apps (collectively the “Platforms”) or otherwise interact with us online. It applies to every California resident who visits, accesses, or registers with the Platforms, applies for a job with us, receives newsletters or other marketing communications issued by or on behalf of Citadel, or engages with us to use the services that Citadel provides (collectively the “Services”) except as otherwise contractually agreed.

This Notice at Collection further describes the use, disclosure, retention, and destruction of such Personal Information from and about customers, clients and candidates who are residents of California, as required under the California Consumer Privacy Act, as amended by the California Privacy Rights Act (“CCPA”).

Terms used in this Notice at Collection have the meaning ascribed to them in the CCPA. For additional information, including details regarding your privacy rights, please see our [Privacy Policy](#) and our [Privacy Notice for California Residents](#).

A PDF copy of this Notice at Collection is available for printing [here](#).

Personal Information We Collect

Depending on how you interact with us, we may collect or use information that identifies, relates to, describes, is capable of being associated with, or could reasonably be linked, directly or indirectly, with you or your household (“Personal Information”). The chart below describes the Personal Information we collect, our purposes for collecting and using it, whether it is sold or shared and how long it is retained. Note that we do not collect every type of Personal Information identified in the table below from every consumer. The types of Personal Information we collect depends on how the consumer has interacted with us and the purpose of those interactions. For example, the Personal Information collected on a consumer who visits the website may differ from the information collected on an applicant for employment or someone who engages with us to use our Services.

Please note, we do not sell your Personal Information for monetary consideration or as that term is traditionally defined. However, we do share your Personal Information as outlined below, including with marketing or advertising activities or analytics tools service providers. You have the right to opt-out of the sharing of Personal Information for certain purposes. For more information or to exercise your rights please see the below section, Your Right to Opt-Out of Sale or Sharing.

Category of Personal Information Collected	Types of Personal Information Collected	Business or Commercial Purposes for Collection and Use	Is Personal Information Sold or Shared?	Expected Retention Period or Criteria for Retention
Identifiers	Name, postal address, unique	<ul style="list-style-type: none">To deliver Citadel’s	Yes	We will keep your Personal

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	<p>personal identifiers, online identifiers, email address, account name, Social Security number, driver’s license number, passport number, or other similar identifiers. Under the CCPA, “unique identifier” or “unique personal identifier” means a persistent identifier that can be used to recognize a consumer or a device that is linked to a consumer, over time and across different services, such as an IP address or customer number.</p>	<p>Services to you;</p> <ul style="list-style-type: none"> • For your participation in certain of our programs; • To enhance your online experience, including as a way to recognize you and welcome you to the Platforms; • To develop new products or Services and to conduct analysis to enhance current products and Services; 		<p>Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>
Geolocation Data	<p>This category may include physical location, such as if you share the information with us at an event.</p>	<ul style="list-style-type: none"> • To analyze your use of and interaction with our Platforms; • To review the usage and operations of our Platforms and improve 	Yes	
Sensory Data	<p>This category may include audio, electronic, visual, or similar</p>		No	<p>We will keep your Personal Information for as long as it is</p>

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	information, such as from the use of security cameras.	<p>our content, products, and Services;</p> <ul style="list-style-type: none"> • To recognize your online activities over time and across different websites, apps, and devices; • To provide you with customized content on our Platforms or via email, telephone, text message, mail, or other marketing channels; • To contact you with information, newsletters, and promotional materials from Citadel or on behalf of our business partners, licensees, and affiliates; 		required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.
Internet or Other Electronic Activity Information	This category may include browsing history, search history, and information regarding	<ul style="list-style-type: none"> • To serve ads through third- 	Yes	We will keep your Personal Information for as long as it is required by us. For example, we

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	interactions with a website, application, or advertisement. Citadel makes reasonable efforts to respect Do Not Track settings in browsers.	party services (including social media platforms) that are targeted to reach people on those services who are identified in our databases;		may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.
Professional or Employment-Related Information	This category may include current or past job history or performance.	<ul style="list-style-type: none"> For recruiting, position advertising, talent management, and employment purposes, including assessment, selection, or conclusion of an employment offer, inclusion in our recruitment database for possible future employment opportunities (with your consent where required), and to comply with 	Yes	
Personal Information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e)) and protected classification characteristics under California or federal law	This category may include name, signature, Social Security number, physical characteristics, address, phone number, passport number, driver's license or state ID card number, insurance policy number, education or employment information, financial account numbers, medical information, health insurance information, age, race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition,		Yes	

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	<p>physical or mental disability, sex and gender information, veteran or military status, or genetic information.</p>	<p>our legal and regulatory obligations in relation to recruitment;</p> <ul style="list-style-type: none"> • To contact you when necessary by email, phone, text message, mail, or in-app direct message; • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To address problems with the Services or our business; • To protect the security or integrity of the Platforms and our business, such as by protecting against and preventing fraud, unauthorized transactions, 		

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		<p>claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or unauthorized users or uses; and</p> <ul style="list-style-type: none"> As otherwise described to you at the point of data collection. 		
Sensitive Personal Information	Social Security, driver’s license, state ID card, or passport number; a consumer’s account log-in, financial account number, password, or credentials allowing access to an account; a consumer’s precise geolocation; a consumer’s racial or ethnic origin, religious or philosophical beliefs, sexual orientation, or union membership; or the contents of a consumer’s mail,	<ul style="list-style-type: none"> To deliver Citadel’s Services to you; For your participation in certain of our programs; To enhance your online experience, including as a way to recognize you and welcome you to the Platforms; 	Yes	We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal

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	<p>email, and other electronic messages where our business is not the intended recipient of the communication</p>	<ul style="list-style-type: none"> • To develop new products or Services and to conduct analysis to enhance current products and Services; • To analyze your use of and interaction with our Platforms; • To review the usage and operations of our Platforms and improve our content, products, and Services; • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To protect the security or integrity of the Platforms and our business, such as by 		<p>Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>

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		<p>protecting against and preventing fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or unauthorized users or uses; and</p> <ul style="list-style-type: none"> • As otherwise described to you at the point of data collection. 		
Commercial Information	Records of services obtained, considered, or provided.	<ul style="list-style-type: none"> • To deliver Citadel’s Services to you; • For your participation in certain of our programs; • To enhance your online experience, including as a way to 	Yes	We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain

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		<p>recognize you and welcome you to the Platforms;</p> <ul style="list-style-type: none"> • To develop new products or Services and to conduct analysis to enhance current products and Services; • To analyze your use of and interaction with our Platforms; • To review the usage and operations of our Platforms and improve our content, products, and Services; • To provide you with customized content on our Platforms or via email, telephone, text message, mail, or other 		<p>your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>

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		<p>marketing channels;</p> <ul style="list-style-type: none"> • To serve ads through third-party services (including social media platforms) that are targeted to reach people on those services who are identified in our databases; • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To address problems with the Services or our business; • To protect the security or integrity of the Platforms and our business, such as by protecting against and preventing 		

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		<p>fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or unauthorized users or uses; and</p> <ul style="list-style-type: none"> As otherwise described to you at the point of data collection. 		
Biometric Information	This category may include imagery of the face or voice, from which an identifier template, like a face or print, can be extracted.	<ul style="list-style-type: none"> To protect the security or integrity of the Platforms and our business, such as by protecting against and preventing fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, 	No	We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the

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		<p>including by identifying potential malicious or unauthorized users or uses;</p> <ul style="list-style-type: none"> • For identification purposes, including to prevent against any physical threats or harms, and to record and/or summarize certain internal and external meetings; and • As otherwise described to you at the point of data collection. 		<p>lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>
<p>Non-public Education Information</p>	<p>This category may include education records directly related to a student maintained by an educational institution or party acting on its behalf (e.g., grades, transcripts, and student ID numbers).</p>	<ul style="list-style-type: none"> • To deliver Citadel’s Services to you; • For your participation in certain of our programs; • To enhance your online 	<p>Yes</p>	<p>We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or</p>

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		<p>experience, including as a way to recognize you and welcome you to the Platforms;</p> <ul style="list-style-type: none"> • To develop new products or Services and to conduct analysis to enhance current products and Services; • To analyze your use of and interaction with our Platforms; • To review the usage and operations of our Platforms and improve our content, products, and Services; • To recognize your online activities over time and across different websites, 		<p>regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>

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		<p>apps, and devices;</p> <ul style="list-style-type: none"> • To provide you with customized content on our Platforms or via email, telephone, text message, mail, or other marketing channels; • To contact you with information, newsletters, and promotional materials from Citadel or on behalf of our business partners, licensees, and affiliates; • To serve ads through third-party services (including social media platforms) that are targeted to reach people on those services who are identified 		

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		<p>in our databases;</p> <ul style="list-style-type: none"> • For recruiting, position advertising, talent management, and employment purposes, including assessment, selection, or conclusion of an employment offer, inclusion in our recruitment database for possible future employment opportunities (with your consent where required), and to comply with our legal and regulatory obligations in relation to recruitment; • To contact you when necessary by email, phone, 		

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		<p>text message, mail, or in-app direct message;</p> <ul style="list-style-type: none"> • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To address problems with the Services or our business; • To protect the security or integrity of the Platforms and our business, such as by protecting against and preventing fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or 		

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		<p>unauthorized users or uses; and</p> <ul style="list-style-type: none"> As otherwise described to you at the point of data collection. 		
Inferences from Other Personal Information	This category may include inferences drawn from the above information that may reflect your preferences, characteristics, predispositions, behavior, interests, attitudes, or similar behavioral information.	<ul style="list-style-type: none"> To deliver Citadel’s Services to you; For your participation in certain of our programs; To enhance your online experience, including as a way to recognize you and welcome you to the Platforms; To develop new products or Services and to conduct analysis to enhance current 	Yes	We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state,

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		<p>products and Services;</p> <ul style="list-style-type: none"> • To review the usage and operations of our Platforms and improve our content, products, and Services; • To recognize your online activities over time and across different websites, apps, and devices; • To provide you with customized content on our Platforms or via email, telephone, text message, mail, or other marketing channels; • To contact you with information, newsletters, and promotional materials from Citadel or on behalf 		<p>federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>

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		<p>of our business partners, licensees, and affiliates;</p> <ul style="list-style-type: none"> • To serve ads through third-party services (including social media platforms) that are targeted to reach people on those services who are identified in our databases; • For recruiting, position advertising, talent management, and employment purposes, including assessment, selection, or conclusion of an employment offer, inclusion in our recruitment database for possible future 		

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		<p>employment opportunities (with your consent where required), and to comply with our legal and regulatory obligations in relation to recruitment;</p> <ul style="list-style-type: none"> • To contact you when necessary by email, phone, text message, mail, or in-app direct message; • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To address problems with the Services or our business; • To protect the security or integrity of the Platforms and our 		

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		<p>business, such as by protecting against and preventing fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or unauthorized users or uses; and</p> <ul style="list-style-type: none"> • As otherwise described to you at the point of data collection. 		
Voice or Video Recordings or Summarization or Transcription of Certain Meetings or Calls	Voice, name, and statements made during recorded, transcribed, or summarized meetings or calls	<ul style="list-style-type: none"> • To deliver Citadel’s Services to you; • For your participation in certain of our programs; • To enhance your online experience, 	Yes	We will keep your Personal Information for as long as it is required by us. For example, we may require it for our business purposes, to perform our contractual obligations, or where law or regulation obliges

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		<p>including as a way to recognize you and welcome you to the Platforms;</p> <ul style="list-style-type: none"> • To develop new products or Services and to conduct analysis to enhance current products and Services; • To provide you with customized content on our Platforms or via email, telephone, text message, mail, or other marketing channels; • For recruiting, position advertising, talent management, and employment purposes, including assessment, selection, or 		<p>us to. We will generally retain your Personal Information throughout the lifecycle of your relationship with us. Some Personal Information will be retained after your relationship with us ends. We expect to delete your Personal Information (at the latest) once there is no longer any local, state, federal, and other legal requirement or legitimate business purpose for retaining your Personal Information.</p>

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		<p>conclusion of an employment offer, inclusion in our recruitment database for possible future employment opportunities (with your consent where required), and to comply with our legal and regulatory obligations in relation to recruitment;</p> <ul style="list-style-type: none"> • To use your data in an aggregated, anonymous, non-specific format for analytical purposes; • To address problems with the Services or our business; • To protect the security or integrity of the Platforms 		

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		<p>and our business, such as by protecting against and preventing fraud, unauthorized transactions, claims, and other liabilities and by managing risk exposure, including by identifying potential malicious or unauthorized users or uses; and</p> <ul style="list-style-type: none"> As otherwise described to you at the point of data collection. 		

Retention of Personal Information

As described in the table above, we will only retain your Personal Information for as long as necessary to fulfill the purposes for which it was collected, including for the purposes of satisfying any legal, regulatory, accounting or reporting requirements.

Your Right to Opt-Out of Sale or Sharing

We do not sell your Personal Information for monetary consideration or as that term is traditionally defined. However, you have the right to opt-out of certain advertising and marketing activities including online tracking and email marketing that involves the sharing of your Personal Information. You can exercise your right to opt-out in the following ways:

Opt-Out of Online Tracking: You can exercise your right to opt-out of certain online tracking activities that could be considered a sharing of your Personal Information by selecting “Reject Non-Essential Cookies” on the pop-up banner when you visit our websites or through an opt-out preference signal. If you choose to exercise this right through an opt-out preference signal and we detect such a signal, it will be honored. If you submit a request to opt-out, including through an opt-out preference signal, after you have agreed to our sharing of Personal Information, we will opt you out of any further sharing of your Personal Information.

Opt-Out of Other Sales/Sharing: You can exercise your right to opt-out of certain email marketing activities that could be considered a sharing of your Personal Information by contacting us at PrivacyInquiries@citadel.com.

For Additional Information

For additional information, including details regarding your privacy rights, please see our [Privacy Policy](#) and our [Privacy Notice for California Residents](#).

If you have additional questions about this Privacy Policy or the practices described here, you may contact us at PrivacyInquiries@citadel.com or write to us at the following address:

Privacy Officer
Citadel Enterprise Americas LLC
830 Brickell Plaza
Miami, FL 33131
You may also call us at +1-305-929-6851.